

General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the entity	SMIFS CAPITAL MARKETS LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Quarterly
Date of Report	31-12-2024
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only

Disclosure of notes on composition of board of directors explanatory

Add Notes

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?
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1	Mr	UTSAV PAREKH	AGHP4467H	00027642	Non-Executive - Non Independent Director	Chairperson		28-08-1956	No
2	Mr	KISHOR SHAH	AIQP54268I	00170502	Executive Director	Not Applicable	MD	30-08-1956	No
3	Mr	ALAY KUMAR KAVAN	AGPK0892G	00239123	Non-Executive - Non Independent Director	Not Applicable		26-04-1957	No
4	Mrs	PUSHPA WISHRA	ANVPM5278L	07898390	Non-Executive - Independent Director	Not Applicable	Shareholder Director	11-06-1972	No
5	Mr	NITIN DAGA	AKMFD0523H	08606910	Non-Executive - Independent Director	Not Applicable		06-08-1987	No
6	Mr	PRATIK GHOSE	AQGP64950R	10545249	Non-Executive - Independent Director	Not Applicable		23-06-1983	No

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disqualification of Directors under section 164 of the Companies Act, 2013

Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation
			Active	NA		28-05-1990		
			Active	NA		31-01-2002		
			Active	NA		30-06-2007		
			Active	Yes	17-09-2022	22-09-2018	25-09-2022	
			Active	Yes	31-05-2024	27-03-2024		
			Active	Yes	31-05-2024	27-03-2024		

1	08606910	NITIN DAGA	Non-Executive - Independent Director	Chairperson	27-03-2024	
2	00170502	KISHOR SHAH	Executive Director	Member	01-04-2019	
3	07398390	PUSHPA MISHRA	Non-Executive - Independent Director	Member	25-09-2017	
4						
5						
6						
7						
8						
9						
10						

Risk Management Committee						
Whether the Risk Management Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1						Remarks
2						
3						
4						
5						
6						
7						
8						
9						
10						

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee						
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1						Remarks
2						
3						
4						
5						
6						
7						
8						
9						
10						

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Add Notes

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	20-07-2024			Yes	6	6	3
2	11-09-2024	52		Yes	6	4	3
3	25-10-2024	43		Yes	6	6	3
4	26-11-2024	31		Yes	6	5	3

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* to be filled in only for the current quarter meetings

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory									
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Add Notes		No. of members attending the meeting (other than Board of Directors)
							Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	
1	Audit Committee	20-07-2024				Yes	3	3	2
2	Audit Committee	11-09-2024	52	PREFERENTIAL ALLOTMENT OF	Yes	Yes	3	3	2
3	Other Committee	13-09-2024	1	EQUITY SHARES AND	Yes	Yes	3	3	2
4	Audit Committee	25-10-2024	41		Yes	Yes	3	3	2
5	Nomination and Remuneration Committee	26-11-2024	31		Yes	Yes	3	3	3

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* to be filled in only for the current quarter meetings

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Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		Add Notes
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			
			Add Notes
Disclosure of notes of material transaction with related party			
			Add Notes

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Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	POONAM BHATIA
2	Designation	Company Secretary and Compliance Officer

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Signatory Details

Name of signatory	POONAM BHATIA
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	31-12-2024

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