

SMIFS Capital Markets Ltd

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UNAUDITED FINANCIAL RESULTS FOR THE QUARTER AND NINE MONTHS ENDED 31ST DECEMBER, 2014 (Rs. In Lakhs)

Sl. No.	Particulars	3 months ended 31.12.14 (Unaudited)	Previous 3 months ended 30.09.14 (Unaudited)	Corresponding 3 months ended in the previous year 31.12.13 (Unaudited)	Year to date figures for Current Period ended 31.12.14 (Unaudited)	Year to date figures for previous period ended 31.12.13 (Unaudited)	Previous Accounting year ended 31.03.14 (Audited)
1	a) Net Sales/Income from Operations	647.82	800.02	887.96	1,969.07	2,618.91	3,569.06
	b) Other operating income	76.29	1.90	(19.16)	99.87	(49.32)	99.27
	Total	724.11	801.92	868.80	2,068.94	2,569.59	3,668.33
2	Expenditure						
	a) Cost of materials consumed	-	-	-	-	-	-
	b) Purchases of stock - in - trade	613.27	543.82	656.77	1,576.71	1,666.90	2,541.66
	c) (Increase) / decrease in stock in trade	-	150.22	172.77	150.22	693.19	693.66
	d) Employee benefits expense	41.04	30.48	33.76	102.62	93.28	145.27
	e) Depreciation and amortisation expense	7.11	6.54	8.25	20.11	24.70	32.85
	f) Other expenses	34.03	49.43	59.15	144.35	180.87	248.26
	Total	695.45	780.49	930.70	1,994.01	2,658.94	3,661.70
3	Profit/(Loss) from operations before other Income, finance cost and exceptional item (1-2)	28.66	21.43	(61.90)	74.93	(89.35)	6.63
4	Other Income	2.99	2.38	2.18	7.40	37.24	42.45
5	Profit/(Loss) from ordinary activities before finance costs and exceptional item (3+4)	31.65	23.81	(59.72)	82.33	(52.11)	49.08
6	Finance cost	0.30	1.94	0.64	2.68	2.27	2.79
7	Profit/(Loss) from ordinary activities after finance cost but before exceptional item (5-6)	31.35	21.87	(60.36)	79.65	(54.38)	46.29
8	Exceptional item	-	-	-	-	-	-
9	Profit(+)/Loss(-) from ordinary activities before tax (7+8)	31.35	21.87	(60.36)	79.65	(54.38)	46.29
10	Tax expense - Current	-	-	-	-	-	8.94
	- Short- (Excess) Provision for Earlier Years	-	-	-	-	18.02	18.02
	- Securities Transaction Tax	-	0.00	0.29	0.12	1.15	1.46
	- MAT Credit Entitlement	-	-	-	-	-	(27.67)
	- Deferred	-	-	-	-	-	24.63
11	Net Profit(+)/Loss(-) from Ordinary Activities after tax (9-10)	31.35	21.87	(60.67)	79.53	(73.55)	20.90
12	Extraordinary Items (net of tax expenses)	-	-	-	-	-	-
13	Net Profit(+)/Loss(-) for the period (11-12)	31.35	21.87	(60.67)	79.53	(73.55)	20.90
14	Paid-up Equity Share Capital (Face Value Rs.10/- per share)	558.50	558.50	558.50	558.50	558.50	558.50
15	Reserves excluding Revaluation Reserves						9,296.32
16	Earnings Per Share (EPS) (not to be annualised)						
	a) Basic & Diluted EPS before extraordinary items	0.56	0.39	(1.09)	1.42	(1.32)	0.37
	b) Basic & Diluted EPS after extraordinary items	0.56	0.39	(1.09)	1.42	(1.32)	0.37
17	Public shareholding						
	- Number of Shares	2,876,249	2,866,249	2,877,949	2,876,249	2,877,949	2,866,249
	- Percentage of Shareholding	51.50%	51.32%	51.53%	51.50%	51.53%	51.32%
18	Promoters and promoter group shareholding						
	a) Pledged/Encumbered						
	- Number of shares	Nil	Nil	Nil	Nil	Nil	Nil
	- Percentage of shares(as a % of total shareholding of promoter and promoter group)	Nil	Nil	Nil	Nil	Nil	Nil
	- Percentage of shares (as a % of the total share capital of the Company)	Nil	Nil	Nil	Nil	Nil	Nil
	b) Non-encumbered						
	- Number of Shares	2,708,751	2,718,751	2,707,051	2,708,751	2,707,051	2,718,751
	- Percentage of shares(as a % of total shareholding of promoter and promoter group)	100%	100%	100%	100%	100%	100%
	- Percentage of shares (as a % of the total share capital of the Company)	48.50%	48.68%	48.47%	48.50%	48.47%	48.68%

Investors Complaints

Three Months ended 31.12.2014

Pending at the beginning of the quarter
Received during the quarter
Disposed off during the quarter
Remaining unresolved at the end of the quarter

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1	Segment Revenue						
	a) Capital Market Operations	617.97	546.33	661.20	1,587.67	1,683.77	2,605.67
	b) Investment Banking Operations	29.44	21.25	24.78	145.33	124.24	152.49
	c) Real Estate Business	-	232.20	201.00	232.20	809.73	809.73
	d) Unallocated	0.41	0.24	0.99	3.87	1.17	1.17
	Total	647.83	800.03	887.97	1,969.07	2,618.91	3,569.06
	Less: Inter Segment Revenue	-	-	-	-	-	-
	Net Sales / Income from Operations	647.83	800.03	887.97	1,969.07	2,618.91	3,569.06
2	Segment Results Profit / (Loss) before tax & interest from each segment						
	a) Capital Market Operations	4.70	2.51	4.35	10.96	16.45	62.97
	b) Investment Banking Operations	29.44	21.25	24.78	145.33	124.24	152.49
	c) Real Estate Business	-	79.56	25.47	79.56	97.21	111.45
	d) Unallocated	0.41	0.24	0.99	3.87	1.17	1.17
	Total	34.55	103.57	55.59	239.72	239.07	328.07
	Less: i) Interest	0.30	1.94	0.64	2.68	2.27	2.79
	ii) Other un-allocable expenditure net of un-allocable income	2.90	79.76	115.31	157.40	291.18	278.99
	Total Profit before Tax	31.35	21.87	(60.36)	79.65	(54.38)	46.29
3	Capital Employed (Segment Assets - Segment Liabilities)						
							(Refer Note No. 3)

Notes:

- The above unaudited results for the quarter and nine months ended 31st December, 2014 have been reviewed by the Audit Committee and taken on record by the Board of Directors at their meeting held on 30th January, 2015. The Statutory Auditors of the Company have carried out a Limited Review of the above unaudited financial results.
- Provision for Current Tax will be done at the end of the year.
- Fixed Assets used in the Company's operations or liabilities contracted have not been identified to any of the reportable segments, as the fixed assets are used interchangeably between segments. The Company believes that it is currently not practicable to provide segment disclosures relating to total assets and liabilities since a meaningful segregation of data is onerous.
- Previous period's figures have been re-arranged / re-grouped wherever necessary.

For SMIFS Capital Markets Ltd.
Kishor Shah
Managing Director

Place Kolkata
Date : 30.01.2015