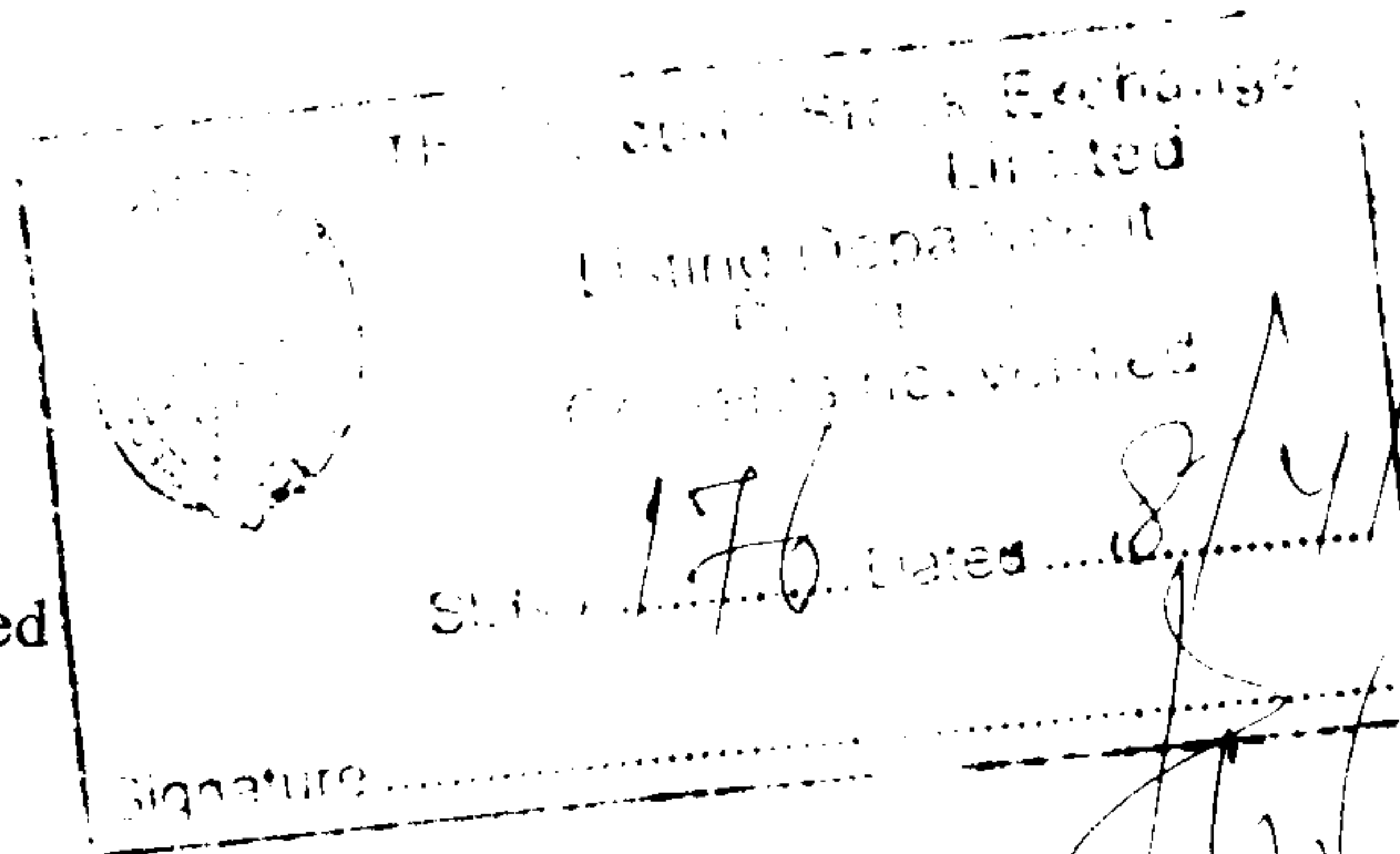




# SMIFS CAPITAL MARKETS LIMITED

April 8, 2019

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001



**Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on March 31, 2019 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
For SMIFS Capital Markets Limited

**(Poonam Bhatia)**  
Company Secretary-cum-Senior Manager Legal



Encl: a/a



### General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	INE641A01013
ISIN	SMIFS CAPITAL MARKETS LIMITED
Name of the company	No
Whether company is SME	Equity Shares
Class of Security	Quarterly
Type of report	31-03-2019
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

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For SMIFS CAPITAL MARKETS LIMITED

*[Signature]*  
(ROGNAMESH) (FIR)  
COMPARTMENTARY  
CUM-SENIOR MANAGER LEGAL

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No	No	No
7	Whether company has equity shares with differential voting rights?	No	No	No	No

SMIFS CAPITAL MARKETS LIMITED

For SMIFS CAPITAL MARKETS LIMITED

*P. B. L. L.*

(POONAM BHATTIA)  
 COMPANY SECRETARY  
 CUM-SENIOR MANAGER LEGAL

Category	No. of Shareholders (B)	No. of Fully paid up equity shares held (V)	No. of Partly paid up equity shares held (W)	No. of Shares Underlying Depository Receipts (U)	Total nos. shares held (M) = (V)+(W)+(U)	Shareholding as a % of total no. of shares (as per (M) (157))		Number of Voting Rights (157) Rights			No. of Shares Underlying Outstanding convertible securities (A) + (B) + (C) + (D) + (E) + (F) + (G) + (H) + (I) + (J) + (K) + (L) + (M) + (N) + (O) + (P) + (Q) + (R) + (S) + (T) + (U) + (V) + (W) + (X) + (Y) + (Z)	No. of Shares Underlying Outstanding Warrants (N)	No. of Shares Underlying convertible securities and No. of Warrants (O) (a)	Shareholding as a % of convertible securities (157) (a) + (b) + (c) + (d) + (e) + (f) + (g) + (h) + (i) + (j) + (k) + (l) + (m) + (n) + (o) + (p) + (q) + (r) + (s) + (t) + (u) + (v) + (w) + (x) + (y) + (z)	Number of Locked in Shares (D)		Number of Shares pledged or otherwise encumbered (D)		Number of equity shares held in Form Dematerialized (157)
						As a % of (A+B+C)	As a % of (A+B+C)	Class	Total	As a % of total Shares held (b)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A) Promoter & Director (Sec 2)	10	23,20,000			23,20,000	65.37	23,20,000	23,20,000										23,20,000	
(B) Public	1,281	20,00,000			20,00,000	54.63	20,00,000	20,00,000										20,00,000	
(C) Non Promoter - Non Public																			
(D) Shares Underlying Depository Receipts																			
(E) Warrants																			
(F) Total	1,291	23,20,000			23,20,000	65.37	23,20,000	23,20,000										23,20,000	

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For SMIFS CAPITAL MARKETS LIMITED

*P. Bhatia*

(POONAM BHATIA)  
 COMPANY SECRETARY  
 CUM-SENIOR MANAGER LEGAL





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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)/(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights	Total as a % of Total Voting rights				
		Class eg: X		Total							
A1(a)	Individual/Minority Shareholder Family										
1	AJAY KUMAR KAYAN	AGGPK0892G	402250	402250	7.20	402250.00	402250.00	7.20	402250		
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76	154300		
3	NILANGI PAREKH	AJPP3852K	123000	123000	2.20	123000.00	123000.00	2.20	123000		
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72	96200		
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13	7000		
6	SUMAN BHARTIA	AEEP89602B	1500	1500	0.03	1500.00	1500.00	0.03	1500		
7	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00	100.00	0.00	100		
	Click here to go back	Total	784350	784350	14.04	784350.00	784350.00	14.04	784350		

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FOR SMIFS CAPITAL MARKETS, LIMITED

*B. Kumar*

SMIFS CAPITAL MARKETS, LIMITED  
 SUBSIDIARY OF SMIFS  
 CHINA SECTOR GROUP, NEW DELHI

Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (v) + (vi) + (viii)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (xi) = (vii) + (ix)	Number of equity shares held in dematerialized form (xiv)	Reason for not providing PAN	Shareholder type
								Class eg. x	Total	Total as a % of Total Voting rights				
<b>A1(d)</b>	<b>Any Other (specify)</b>													
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABC15680Q	1	1115700	1115700	19.98	1115700.00	1115700.00	19.98	1115700			
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABC16020F	1	357251	357251	6.40	357251.00	357251.00	6.40	357251			
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE	AAD758637C	1	276750	276750	4.96	276750.00	276750.00	4.96	276750			
		<b>Total</b>		<b>3</b>	<b>1749701</b>	<b>1749701</b>	<b>31.33</b>	<b>1749701.00</b>	<b>1749701.00</b>	<b>31.33</b>	<b>1749701</b>			

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*For and on behalf of the Company  
 Director  
 M. S. Srinivasan  
 Chairman  
 M. S. Srinivasan  
 Company Secretary  
 M. S. Srinivasan*

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						Class eg: X	Total			
1	S N RAJAN	ANWPS3969F	313940	313940	5.62	313940.00	313940.00	5.62	313940	
2	SUBRAMANIAN P	ANBPS8931K	182590	182590	3.27	182590.00	182590.00	3.27	182590	
3	MINAL BHARAT PATEL	AACPP5126G	152404	152404	2.73	152404.00	152404.00	2.73	152404	
4	VENKATTU SRINIVASAN	ABFPV0278F	79904	79904	1.43	79904.00	79904.00	1.43	79904	
5	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	65700	65700	1.18	65700.00	65700.00	1.18	65700	
6	RAKESH KUMAR JAIN	AAFPI6867K	60153	60153	1.08	60153.00	60153.00	1.08	60153	
		Total	854691	854691	15.30	854691.00	854691.00	15.30	854691	

**Disclosure of shareholding more than 1% of total number of shares**

**Individuals - II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

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For SMIFS CAPITAL MARKETS LIMITED

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(ROOM NO. 201, TIA)

COMPTON HOUSE, 100, MARKET STREET, CHENNAI - 600 002

CUM-SENIOR MANAGER LEGAL



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Line No.	Company	Long or More than 1 year	Name of the Shareholders (I)	PUN (I)	No. of the Shareholders (I)	No. of Shares Held (V)	No. of Shares Held (VI) - (VII) + (VIII) (VI)	Autodial No. of the Shareholders (VII) - (VIII) + (IX) (VII)	Number of V. and Registered Shares (VIII)		Number of Shares Held in the Company (IX)	Research Institute (X)
									Shares (VIII)	Registered Shares (IX)		
1	ABC Co.				1000	1000	1000	1000	1000	1000	1000	
2	DEF Co.				2000	2000	2000	2000	2000	2000	2000	
3	GHI Co.				3000	3000	3000	3000	3000	3000	3000	
4	JKL Co.				4000	4000	4000	4000	4000	4000	4000	
<b>(Total)</b>												
Total V. and Registered Shares (VIII) = 10000 Total Registered Shares (IX) = 10000 Total Shares Held in the Company (IX) = 10000 Total Shares Held in the Company (IX) = 10000												

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FOR DIRECTOR GENERAL LIMITED

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