



# SMIFS CAPITAL MARKETS LIMITED

October 6, 2020

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

**Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on September 30, 2020 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,  
**For SMIFS Capital Markets Limited**

**(Poonam Bhatia)**  
**Company Secretary-cum-Senior Manager Legal**

Encl: a/a

	The Calcutta Stock Exchange Limited
	Listing Department Receipt Contents not verified
Sl. No. 29	Dated 6/10/20
Signature .....	

**Format of holding of specified securities**

1. Name of Listed Entity: SMIFS CAPITAL MARKETS LTD. (CIN:L74300WB1983PLC036342)
2. Scrip Code 508905 Name of Scrip -, SMIFS-CAP MA Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2020
  - b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

For SMIFS CAPITAL MARKETS LIMITED  
*P. Bhatia*  
 (POONAM BHATIA)  
 COMPANY SECRETARY  
 CUM-SENIOR MANAGER LEGAL

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	10	2534051	0	0	2534051	45.3724	2534051	0	2534051	45.3724	0	45.3724	0	0.0000	0	0.0000	2534051
(B)	Public	1213	3050949	0	0	3050949	54.6276	3050949	0	3050949	54.6276	0	54.6276	0	0.0000	NA		3001609
(C)	Non Promoter - Non Public														NA			
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	1223	5585000	0	0	5585000	100.0000	5585000	0	5585000	100.0000	0	100.0000	0				5535660

For SMIFS CAPITAL MARKETS LIMITED

*P. K. Bhatia*  
 COMPANY SECRETARY  
 CUM-SENIOR MANAGER LEGAL

